



Exercise Session 03 – Recurrence, Sorting

Data Structures and Algorithms

These slides are based on those of the lecture, but were adapted and extended by the teaching assistant Adel Gavranović

Today's Schedule

Intro

Follow-up

Feedback for **code expert**

Learning Objectives

Landau Notation

Landau Notation Quiz

Analyse the running time of (recursive) Functions

Solving Simple Recurrence Equations

Sorting Algorithms

In-Class Code-Examples

Outro



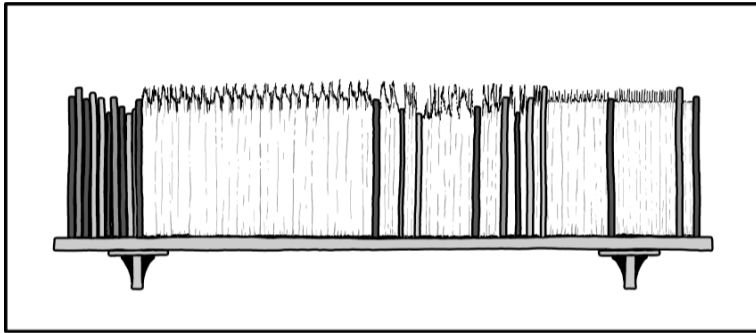
`n.ethz.ch/~agavranovic`

▶ [Exercise Session Material](#)

▶ [Adel's Webpage](#)

▶ [Mail to Adel](#)

Comic of the Week



BOOK PEOPLE HATE SEEING BOOKS SORTED BY
COLOR, BUT IT TURNS OUT THEY GET *WAY* MORE
ANGRY IF YOU SORT THE PAGES BY NUMBER.

1. Intro

Intro

Intro

- New room
- Please tell the others!

2. Follow-up

Follow-up from last exercise session

Follow-up from last exercise session

- None? Did I forget anything?

3. Feedback for **code** expert

General things regarding **code** expert

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- If you want feedback for Code, please make sure to mention it at the very top of the code with "FEEDBACK PLEASE" (or similar)

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- If you want feedback for Code, please make sure to mention it at the very top of the code with "FEEDBACK PLEASE" (or similar)
- I can't recommend this enough: Check out the master solution each week and double check your understanding

General things regarding **code expert**

- If you want feedback for Code, please make sure to mention it at the very top of the code with "FEEDBACK PLEASE" (or similar)
- I can't recommend this enough: Check out the master solution each week and double check your understanding
- If I ever seem needlessly strict (do tell me!), It's only because I really want you all to pass the exam (well)

Specific things regarding **code** expert

Big-O-Notation

Specific things regarding **code expert**

Big-O-Notation

- You might've seen in the lectures: for Landau-notation it doesn't matter if you write \log_2 or any other base (\log_b) since they're asymptotically equivalent! (thus we usually just write \log with no specified base)

Specific things regarding **code expert**

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Asymptotic Growth

Specific things regarding **code expert**

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Asymptotic Growth

- Overall pretty bad, so we're gonna have a closer look today

Specific things regarding **code expert**

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Asymptotic Growth

- Overall pretty bad, so we're gonna have a closer look today
- Was the task description not clear enough?

Specific things regarding **code expert**

Big-O-Notation

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Asymptotic Growth

- Overall pretty bad, so we're gonna have a closer look today
- Was the task description not clear enough?
- Ideally, you'd have a ranking on your cheat sheet (or know it by heart) and then you just apply some logic and analysis to determine a ranking for some given asymptotic complexities

Questions regarding **code expert** from your side?

□ Include old
exam Qs
for showing

4. Learning Objectives

Learning Objectives

- Be able to solve "rank-by-complexity" tasks
- Be able to set up *recurrence equations* from Code Snippets
- Be able to solve *recurrence equations* and solution's correctness

5. Landau Notation

Landau Notation

Give a correct definition of the set $\Theta(f)$ as compact as possible analogously to the definitions for sets $\mathcal{O}(f)$ and $\Omega(f)$

$$\Theta(f) =$$

Landau Notation

Give a correct definition of the set $\Theta(f)$ as compact as possible analogously to the definitions for sets $\mathcal{O}(f)$ and $\Omega(f)$

$$\Theta(f) = \{g : \mathbb{N} \rightarrow \mathbb{R} \mid \exists a > 0, b > 0, n_0 \in \mathbb{N} : a \cdot f(n) \leq g(n) \leq b \cdot f(n) \forall n \geq n_0\}$$

=

Landau Notation

Give a correct definition of the set $\Theta(f)$ as compact as possible analogously to the definitions for sets $\mathcal{O}(f)$ and $\Omega(f)$

$$\begin{aligned}\Theta(f) &= \{g : \mathbb{N} \rightarrow \mathbb{R} \mid \exists a > 0, b > 0, n_0 \in \mathbb{N} : a \cdot f(n) \leq g(n) \leq b \cdot f(n) \forall n \geq n_0\} \\ &= \{g : \mathbb{N} \rightarrow \mathbb{R} \mid \exists c > 0, n_0 \in \mathbb{N} : \frac{1}{c} \cdot f(n) \leq g(n) \leq c \cdot f(n) \forall n \geq n_0\}\end{aligned}$$

Landau Notation

Prove or disprove the following statements, where $f, g : \mathbb{N} \rightarrow \mathbb{R}^+$.

(a) $f \in \mathcal{O}(g)$ if and only if $g \in \Omega(f)$.

(e) $\log_a(n) \in \Theta(\log_b(n))$ for all constants $a, b \in \mathbb{N} \setminus \{1\}$

(g) If $f_1, f_2 \in \mathcal{O}(g)$ and $f(n) := f_1(n) \cdot f_2(n)$, then $f \in \mathcal{O}(g)$.

Landau Notation

Sorting functions: if function f is left to function g , then $f \in \mathcal{O}(g)$. Sort them

$$n^5 + n, \log(n^4), \sqrt[3]{n}, \binom{n}{3}, 2^{16}, n^n, n!, \frac{2^n}{n^2}, \log^8(n), n \log n$$

$\frac{4 \log(n)}{\in \Theta(\log(n))}$ $\boxed{\mathcal{O}(n^3)}$ $\frac{2^n}{n^2}$ $\log^8(n)$ $n \log n$

1. c to left
 2.

$$n^n = n \cdot n \cdot n \dots n$$

$$n! = n \cdot (n-1) \cdot \dots \cdot 1$$

$$2^{16}$$

$$\Theta(1)$$

Landau Notation



Sorting functions: if function f is left to function g , then $f \in \mathcal{O}(g)$. Sort them

$$n^5 + n, \log(n^4), \sqrt{n}, \binom{n}{3}, 2^{16}, n^n, n!, \frac{2^n}{n^2}, \log^8(n), n \log n$$

Sorted:

$$2^{16}, \underbrace{\log(n^4), \log^8(n)}_{4 \log(n) \cdot (\dots)^8}, \underbrace{\sqrt{n}, n \log n}_{n^{1/2} \quad n^1 \dots}, \underbrace{\binom{n}{3}}_{n^3}, n^5 + n, \frac{2^n}{n^2}, n!, n^n$$

(Handwritten annotations: 2^{16} is circled, $n!$ is circled, and $\in(n^5)$ is written below $\frac{2^n}{n^2}$)

What I had on my Cheatsheet

What I had on my Cheatsheet

for $c \in \mathbb{R}^+$:

$c, \log \log n, \log^c n, \sqrt{n}, n, \underline{n \log n}, n^c, c^n, n!, n^n$

$$\binom{n}{k} = \frac{n!}{k! \cdot (n-k)!} \in \Theta(n^k), \quad \underline{\log(n!) \in \Theta(n \log n)}, \quad \boxed{n! \in \mathcal{O}(n^n)}$$

My personal approach to solving them

1. Have the "ranking" on my cheatsheet

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4. All "obviously log"-things rather to the left

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5. Resolve/rewrite binomial stuff to polynomials

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6. Do not forget that $\sqrt{n} = n^{\frac{1}{2}}$

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7. All obvious polynomial-in- n things rather to the right

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8. Where it's not obvious:
 - Switch on your brain and make comparisons

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8. Where it's not obvious:
 - Switch on your brain and make comparisons
 - (Analysis I was actually useful!)



6. Landau Notation Quiz

Landau Notation Quiz

Is $f \in \mathcal{O}(n^2)$, if $f(n) = \dots$?

Landau Notation Quiz

Is $f \in \mathcal{O}(n^2)$, if $f(n) = \dots$?

- n

Landau Notation Quiz

Is $f \in \mathcal{O}(n^2)$, if $f(n) = \dots$?

- n ✓
- $n^2 + 1$

Landau Notation Quiz

Is $f \in \mathcal{O}(n^2)$, if $f(n) = \dots$?

- n ✓
- $n^2 + 1$ ✓
- $\log^4(n^2)$

$$2 \log^4(n)$$

Landau Notation Quiz

Is $f \in \mathcal{O}(n^2)$, if $f(n) = \dots$?

- n ✓
- $n^2 + 1$ ✓
- $\log^4(n^2)$ ✓
- $n \log(n^2)$

$2n \log(n)$

Landau Notation Quiz

Is $f \in \mathcal{O}(n^2)$, if $f(n) = \dots$?

- n ✓
- $n^2 + 1$ ✓
- $\log^4(n^2)$ ✓
- $n \log(n^2)$ ✓
- n^π

Landau Notation Quiz

Is $f \in \mathcal{O}(n^2)$, if $f(n) = \dots$?

- n ✓
- $n^2 + 1$ ✓
- $\log^4(n^2)$ ✓
- $n \log(n^2)$ ✓
- n^π ✗ ($\pi \approx 3.14 > 2$)

Landau Notation Quiz

Is $f \in \mathcal{O}(n^2)$, if $f(n) = \dots$?

- n ✓
- $n^2 + 1$ ✓
- $\log^4(n^2)$ ✓
- $n \log(n^2)$ ✓
- n^π ✗ ($\pi \approx 3.14 > 2$)
- $n \cdot 2^{16}$

Landau Notation Quiz

Is $f \in \mathcal{O}(n^2)$, if $f(n) = \dots$?

- n ✓
- $n^2 + 1$ ✓
- $\log^4(n^2)$ ✓
- $n \log(n^2)$ ✓
- n^π ✗ ($\pi \approx 3.14 > 2$)
- $n \cdot 2^{16}$ ✓
- $n^2 \cdot 2^{16}$

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- $n \log(n^2)$ ✓
- n^π ✗ ($\pi \approx 3.14 > 2$)
- $n \cdot 2^{16}$ ✓
- $n^2 \cdot 2^{16}$ ✓
- 2^n

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- n ✓
- $n^2 + 1$ ✓
- $\log^4(n^2)$ ✓
- $n \log(n^2)$ ✓
- n^π ✗ ($\pi \approx 3.14 > 2$)
- $n \cdot 2^{16}$ ✓
- $n^2 \cdot 2^{16}$ ✓
- 2^n ✗

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- n ✓
- $n^2 + 1$ ✓
- $\log^4(n^2)$ ✓
- $n \log(n^2)$ ✓
- n^π ✗ ($\pi \approx 3.14 > 2$)
- $n \cdot 2^{16}$ ✓
- $n^2 \cdot 2^{16}$ ✓
- 2^n ✗

$$\Omega(2n) = \Omega(n)$$

Is $g \in \Omega(2n)$, if $g(n) = \dots$?

Landau Notation Quiz

Is $f \in \mathcal{O}(n^2)$, if $f(n) = \dots$?

- n ✓
- $n^2 + 1$ ✓
- $\log^4(n^2)$ ✓
- $n \log(n^2)$ ✓
- n^π ✗ ($\pi \approx 3.14 > 2$)
- $n \cdot 2^{16}$ ✓
- $n^2 \cdot 2^{16}$ ✓
- 2^n ✗

Is $g \in \Omega(2n)$, if $g(n) = \dots$?

- 1

Landau Notation Quiz

Is $f \in \mathcal{O}(n^2)$, if $f(n) = \dots$?

- n ✓
- $n^2 + 1$ ✓
- $\log^4(n^2)$ ✓
- $n \log(n^2)$ ✓
- n^π ✗ ($\pi \approx 3.14 > 2$)
- $n \cdot 2^{16}$ ✓
- $n^2 \cdot 2^{16}$ ✓
- 2^n ✗

Is $g \in \Omega(2n)$, if $g(n) = \dots$?

- 1 ✗

Landau Notation Quiz

Is $f \in \mathcal{O}(n^2)$, if $f(n) = \dots$?

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- $n \log(n^2)$ ✓
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- $n^2 \cdot 2^{16}$ ✓
- 2^n ✗

Is $g \in \Omega(2n)$, if $g(n) = \dots$?

- 1 ✗
- n

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Is $f \in \mathcal{O}(n^2)$, if $f(n) = \dots$?

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- $n^2 + 1$ ✓
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- $n \log(n^2)$ ✓
- n^π ✗ ($\pi \approx 3.14 > 2$)
- $n \cdot 2^{16}$ ✓
- $n^2 \cdot 2^{16}$ ✓
- 2^n ✗

Is $g \in \Omega(2n)$, if $g(n) = \dots$?

- 1 ✗
- n ✓
- $\pi \cdot n$

Landau Notation Quiz

Is $f \in \mathcal{O}(n^2)$, if $f(n) = \dots$?

- n ✓
- $n^2 + 1$ ✓
- $\log^4(n^2)$ ✓
- $n \log(n^2)$ ✓
- n^π ✗ ($\pi \approx 3.14 > 2$)
- $n \cdot 2^{16}$ ✓
- $n^2 \cdot 2^{16}$ ✓
- 2^n ✗

Is $g \in \Omega(2n)$, if $g(n) = \dots$?

- 1 ✗
- n ✓
- $\pi \cdot n$ ✓
- $\pi^{42} \cdot n$

Landau Notation Quiz

Is $f \in \mathcal{O}(n^2)$, if $f(n) = \dots$?

- n ✓
- $n^2 + 1$ ✓
- $\log^4(n^2)$ ✓
- $n \log(n^2)$ ✓
- n^π ✗ ($\pi \approx 3.14 > 2$)
- $n \cdot 2^{16}$ ✓
- $n^2 \cdot 2^{16}$ ✓
- 2^n ✗

Is $g \in \Omega(2n)$, if $g(n) = \dots$?

- 1 ✗
- n ✓
- $\pi \cdot n$ ✓
- $\pi^{42} \cdot n$ ✓
- $\log(n)$

Landau Notation Quiz

Is $f \in \mathcal{O}(n^2)$, if $f(n) = \dots$?

- n ✓
- $n^2 + 1$ ✓
- $\log^4(n^2)$ ✓
- $n \log(n^2)$ ✓
- n^π ✗ ($\pi \approx 3.14 > 2$)
- $n \cdot 2^{16}$ ✓
- $n^2 \cdot 2^{16}$ ✓
- 2^n ✗

Is $g \in \Omega(2n)$, if $g(n) = \dots$?

- 1 ✗
- n ✓
- $\pi \cdot n$ ✓
- $\pi^{42} \cdot n$ ✓
- $\log(n)$ ✗
- \sqrt{n}

Landau Notation Quiz

Is $f \in \mathcal{O}(n^2)$, if $f(n) = \dots$?

- n ✓
- $n^2 + 1$ ✓
- $\log^4(n^2)$ ✓
- $n \log(n^2)$ ✓
- n^π ✗ ($\pi \approx 3.14 > 2$)
- $n \cdot 2^{16}$ ✓
- $n^2 \cdot 2^{16}$ ✓
- 2^n ✗

Is $g \in \Omega(2n)$, if $g(n) = \dots$?

- 1 ✗
- n ✓
- $\pi \cdot n$ ✓
- $\pi^{42} \cdot n$ ✓
- $\log(n)$ ✗
- \sqrt{n} ✗

7. Analyse the running time of (recursive) Functions

Analysis

How many calls to `f()`?


```
for(unsigned i = 1; i <= n/3; i += 3){  
    for(unsigned j = 1; j <= i; ++j){  
        f();  
    }  
}
```



Analysis

How many calls to $f()$?

```
for(unsigned i = 1; i <= n/3; i += 3){ ←  $\frac{n}{3}$   $\sigma(n)$ 
  for(unsigned j = 1; j <= i; ++j){ ←  $\sigma(n)$ 
    f();
  }
}
```



The code fragment implies $\Theta(n^2)$ calls to $f()$: the outer loop is executed $n/9$ times and the inner loop contains i calls to $f()$

How many calls to f()?

```
for(unsigned i = 0; i < n; ++i){  
  for(unsigned j = 100; j*j >= 1; --j){  
    f();  
  }  
  for(unsigned k = 1; k <= n; k *= 2){  
    f();  
  }  
}
```

~ 100

$\log_2(n)$

n

$\in \mathcal{O}(n \log(n))$

How many calls to `f()`?

```
for(unsigned i = 0; i < n; ++i){  
    for(unsigned j = 100; j*j >= 1; --j){  
        f();  
    }  
    for(unsigned k = 1; k <= n; k *= 2){  
        f();  
    }  
}
```

We can ignore the first inner loop because it contains only a constant number of calls to `f()`

How many calls to $f()$?

```
for(unsigned i = 0; i < n; ++i){  
    for(unsigned j = 100; j*j <= n; ++j){  
        f();  
    }  
    for(unsigned k = 1; k <= n; k *= 2){  
        f();  
    }  
}
```

$j^2 \leq n$
 $j \leq \sqrt{n}$

We can ignore the first inner loop because it contains only a constant number of calls to $f()$

The second inner loop contains $\lfloor \log_2(n) \rfloor + 1$ calls to $f()$. Summing up yields $\Theta(n \log(n))$ calls.

How many calls to f()?

```
void g(unsigned n){  
    if (n>0){  
        g(n-1);  
        f();  
    }  
}
```

$n=0 \rightarrow$
 $n=1 \rightarrow 1$

How many calls to f()?

```
void g(unsigned n){  
    if (n>0){  
        → g(n-1);  
        f();  
    }  
}
```

$$M(n) = M(n-1) + 1 = M(n-2) + 2 = \dots = M(0) + n = n \in \Theta(n)$$

"how many calls to f() if we call g(n)"

$M(n)$

$$= M(n-1) + 1$$

$$= M(n-2) + 1 + 1$$

$$= M(n-2) + 2$$

\vdots

$$= M(1) + (n-1) = n$$

How many calls to f()?

```
// pre: n is a power of 2
//      n = 2k
void g(int n){
    if(n>0){
        g(n/2);
        f();
    }
}
```

$$2^{\log_2(n)} = n$$

$$\begin{aligned}M(n) &= M\left(\frac{n}{2}\right) + 1 \\ &= 2M\left(\frac{n}{4}\right) + 2 \\ &= \vdots \\ &= M\left(\frac{n}{2^k}\right) + k\end{aligned}$$

$$M(1)$$

$$\log_2(n) \in \Theta(\log n)$$

How many calls to f()?

```
// pre: n is a power of 2
//      n = 2^k
void g(int n){
    if(n>0){
        g(n/2);
        f();
    }
}
```

$$M(n) = 1 + M(n/2) = 1 + 1 + M(n/4) = k + M(n/2^k) \in \Theta(\log n)$$

How many calls to f()?

```
// pre: n is a power of 2
void g(int n){
  if (n>0){
    f();
    g(n/2);
    f();
    g(n/2);
  }
}
```

$$\begin{aligned} M(n) &= 2M\left(\frac{n}{2}\right) + 2 \\ &= 2 \cdot \left(2M\left(\frac{n}{4}\right) + 2\right) + 2 \\ &= 4M\left(\frac{n}{4}\right) + \underbrace{4 + 2}_6 \\ &= \dots + \dots + 8 + 4 + 2 \\ &= \underbrace{n}_{2^k} \cdot \underbrace{M\left(\frac{n}{2^k}\right)}_{2^k} + \underbrace{2 \log_2(n)}_k \cdot \underbrace{2^{\log_2(n)+1}}_{2^{k+1} - 1} \end{aligned}$$

exp
sum

$\sum_{i=1}^k 2^i$

How many calls to f()?

```
// pre: n is a power of 2
void g(int n){
    if (n>0){
        f();
        g(n/2);
        f();
        g(n/2);
    }
}
```

$$\begin{aligned}M(n) &= 2M\left(\frac{n}{2}\right) + 2 = 4M\left(\frac{n}{4}\right) + 4 + 2 = 8M\left(\frac{n}{8}\right) + 8 + 4 \\ &= n + n/2 + \dots + 2 \in \Theta(n)\end{aligned}$$

How many calls to f()?

```
// pre: n is a power of 2
//      n = 2^k
void g(int n){
    if (n>0){
        g(n/2);
        g(n/2);
    }
    for (int i = 0; i < n; ++i){
        f();
    }
}
```

$$M(n) = 2M\left(\frac{n}{2}\right) + n$$

// expand
// simplify

Guess/Intuition $n \log(n)$

How many calls to f()?

```
// pre: n is a power of 2
//      n = 2^k
void g(int n){
    if (n>0){
        g(n/2);
        g(n/2);
    }
    for (int i = 0; i < n; ++i){
        f();
    }
}
```

$$\begin{aligned} M(n) &= 2M\left(\frac{n}{2}\right) + n && \text{exp} \\ &= 2\left(2M\left(\frac{n}{4}\right) + \frac{n}{2}\right) + n && \text{simpl?} \\ &= 4M\left(\frac{n}{4}\right) + n + n \\ &= 2^{\log_2(n)} M(1) + \underbrace{n + \dots + n}_{\log_2(n) \cdot n} && \log_2(n) \\ &= n + n \log(n) \end{aligned}$$

$$M(n) = 2M(n/2) + n = 4M(n/4) + n + 2n/2 = \dots = (k+1)n \in \Theta(n \log n)$$

How many calls to f()?

```
void g(unsigned n){  
    for (unsigned i = 0; i<n ; ++i){  
        g(i);  
    }  
    f();  
}
```

$$M(n+1) = M(n)$$

How many calls to f()?

```
void g(unsigned n){  
    for (unsigned i = 0; i < n; ++i){  
        g(i)  
    }  
    f();  
}
```

$$T(0) = 1$$

How many calls to f()?

```
void g(unsigned n){  
    for (unsigned i = 0; i < n; ++i){  
        g(i)  
    }  
    f();  
}
```

$$T(0) = 1$$

$$T(n) = 1 + \sum_{i=0}^{n-1} T(i)$$

How many calls to f()?

```
void g(unsigned n){  
    for (unsigned i = 0; i<n ; ++i){  
        g(i)  
    }  
    f();  
}
```

$$T(0) = 1$$

$$T(n) = 1 + \sum_{i=0}^{n-1} T(i)$$

n	0	1	2	3	4
$T(n)$	1	2	4	8	16

How many calls to f()?

```
void g(unsigned n){
    for (unsigned i = 0; i<n ; ++i){
        g(i)
    }
    f();
}
```

$$T(0) = 1$$

$$T(n) = 1 + \sum_{i=0}^{n-1} T(i)$$

n	0	1	2	3	4
$T(n)$	1	2	4	8	16

Hypothesis: $T(n) = 2^n$.

Induction

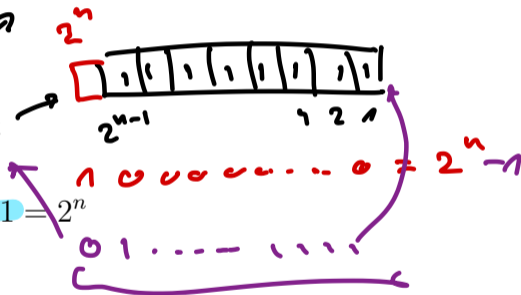
$$2^{n-1} \quad \dots \quad 4 \quad 2 \quad 1 \quad | \cdot 2$$

Hypothesis: $T(n) = 2^n$.

Induction step: $2^n + 2^{n-1} + \dots + 2$

$$T(n) = 1 + \sum_{i=0}^{n-1} 2^i$$

$$= 1 + 2^n - 1 = 2^n$$



How many calls to $f()$?

```
void g(unsigned n){  
    for (unsigned i = 0; i<n ; ++i){  
        g(i)  
    }  
    f();  
}
```

You can also see it directly:

$$\begin{aligned}T(n) &= 1 + \sum_{i=0}^{n-1} T(i) \\ \Rightarrow T(n-1) &= 1 + \sum_{i=0}^{n-2} T(i) \\ \Rightarrow T(n) &= T(n-1) + T(n-1) = 2T(n-1)\end{aligned}$$

8. Solving Simple Recurrence Equations

Recurrence Equation

$$T(n) = \begin{cases} 2T(\frac{n}{2}) + \frac{n}{2} + 1, & n > 1 \\ 3 & n = 1 \end{cases}$$

Specify a closed (non-recursive), simple formula for $T(n)$ and prove it using mathematical induction. Assume that n is a power of 2.

Recurrence Equation

$$\begin{aligned}T(2^k) &= 2T(2^{k-1}) + 2^k/2 + 1 \\&= 2(2(T(2^{k-2}) + 2^{k-1}/2 + 1) + 2^k/2 + 1) = \dots \\&= 2^k T(2^{k-k}) + \underbrace{2^k/2 + \dots + 2^k/2 + 1 + 2 + \dots + 2^{k-1}}_k \\&= 3n + \frac{n}{2} \log_2 n + n - 1\end{aligned}$$

\Rightarrow Assumption $T(n) = 4n + \frac{n}{2} \log_2 n - 1$

Induction

1. Hypothesis $T(n) = f(n) := 4n + \frac{n}{2} \log_2 n - 1$
2. Base Case $T(1) = 3 = f(1) = 4 - 1$.
3. Step $T(n) = f(n) \longrightarrow T(2 \cdot n) = f(2n)$ ($n = 2^k$ for some $k \in \mathbb{N}$):

$$\begin{aligned} T(2n) &= 2T(n) + n + 1 \\ &\stackrel{i.h.}{=} 2\left(4n + \frac{n}{2} \log_2 n - 1\right) + n + 1 \\ &= 8n + n \log_2 n - 2 + n + 1 \\ &= 8n + n \log_2 n + n \log_2 2 - 1 \\ &= 8n + n \log_2 2n - 1 \\ &= f(2n). \end{aligned}$$

Master Method

$$T(n) = \begin{cases} aT(\frac{n}{b}) + f(n) & n > 1 \\ f(1) & n = 1 \end{cases} \quad (a, b \in \mathbb{N}^+)$$

1. $f(n) = \mathcal{O}(n^{\log_b a - \epsilon})$ for some constant $\epsilon > 0 \implies T(n) \in \Theta(n^{\log_b a})$
2. $f(n) = \Theta(n^{\log_b a}) \implies T(n) \in \Theta(n^{\log_b a} \log n)$
3. $f(n) = \Omega(n^{\log_b a + \epsilon})$ for some constant $\epsilon > 0$, and if $af(\frac{n}{b}) \leq cf(n)$ for some constant $c < 1$ and all sufficiently large $n \implies T(n) \in \Theta(f(n))$

Examples

Equation must be convertible into form

$$T(n) = a \cdot T\left(\frac{n}{b}\right) + f(n), \quad (a \geq 1, b > 1)$$

where:

a : Number of Subproblems

$1/b$: Division Quotient

$f(n)$: Div- and Summing Costs

Then we can proceed:

1. Convert the Recurrence Equation into the form above
2. Calculate $K := \log_b a$

3. Make case distinction ($\varepsilon > 0$):

$$f \in \begin{cases} \mathcal{O}(n^{K-\varepsilon}) & \Rightarrow T(n) \in \Theta(n^K) \\ \Theta(n^K) & \Rightarrow T(n) \in \Theta(n^K \log(n)) \\ \Omega(n^{K+\varepsilon}) & \wedge af(\frac{n}{b}) \leq cf(n), 0 < c < 1 \\ & \Rightarrow T(n) \in \Theta(f(n)) \end{cases}$$

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Maximum Subarray / Mergesort

$$T(n) = 2T(n/2) + \Theta(n)$$

$a = 2$
 $b = 2$
 $f(n) \in \Theta(n)$

$$\log_2(2) = 1$$

$$f \in \Theta(n^1) \Rightarrow$$

$$T(n) \in \Theta(n \log n)$$

Examples

Maximum Subarray / Mergesort

$$T(n) = 2T(n/2) + \Theta(n)$$

$$a = 2, b = 2, f(n) = cn = cn^1 = cn^{\log_2 2} \xrightarrow{[2]} T(n) = \Theta(n \log n)$$

Examples

Naive Matrix Multiplication Divide & Conquer¹

$$T(n) = 8T(n/2) + \Theta(n^2)$$

¹Treated in the course later on

Examples

Naive Matrix Multiplication Divide & Conquer¹

$$T(n) = 8T(n/2) + \Theta(n^2)$$

$$a = 8, b = 2, f(n) = cn^2 \in \mathcal{O}(n^{\log_2 8 - 1}) \xrightarrow{[1]} T(n) \in \Theta(n^3)$$

¹Treated in the course later on

Examples

Strassens Matrix Multiplication Divide & Conquer²

$$T(n) = 7T(n/2) + \Theta(n^2)$$

²Treated in the course later on

Examples

Strassens Matrix Multiplication Divide & Conquer²

$$T(n) = 7T(n/2) + \Theta(n^2)$$

$$a = 7, b = 2, f(n) = cn^2 \in \mathcal{O}(n^{\log_2 7 - \epsilon}) \xrightarrow{[1]} T(n) \in \Theta(n^{\log_2 7}) \approx \Theta(n^{2.8})$$

²Treated in the course later on

Examples

$$T(n) = 2T(n/4) + \Theta(n)$$

Examples

$$T(n) = 2T(n/4) + \Theta(n)$$

$$a = 2, b = 4, f(n) = cn \in \Omega(n^{\log_4 2 + 0.5}), 2f(n/4) = c\frac{n}{2} \leq \frac{c}{2}n^1 \stackrel{[3]}{\implies} T(n) \in \Theta(n)$$

Examples

$$T(n) = 2T(n/4) + \Theta(n^2)$$

Examples

$$T(n) = 2T(n/4) + \Theta(n^2)$$

$$a = 2, b = 4, f(n) = cn^2 \in \Omega(n^{\log_4 2^{2+1.5}}), 2f(n/4) = \frac{n^2}{8} \leq \frac{1}{8}n^2 \xrightarrow{[3]} \\ T(n) \in \Theta(n^2)$$

What I had on my Cheatsheet

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Equation must be convertible into form

$$T(n) = a \cdot T\left(\frac{n}{b}\right) + f(n), \quad (a \geq 1, b > 1)$$

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Personal Approach to "Solving RecEqs"

"Plug and Chuck"-Approach

1. Expand few times
2. Notice patterns (careful with multiplications on of $T(n)$)
3. Write down explicitly
4. Formulate explicit formula $f(n)$
5. Prove via induction (starting at $f(1)$)

Personal Approach to "Calls of $f()$ "

1. Loops: just multiply
2. If too hard: usually $\Theta(2^n)$
3. Just brute-force calculate $g(0), g(1), g(2), g(3), \dots$ and try to identify trends
4. If necessary, simply set up and solve RecEqs
5. If asked provide proof (by induction)

9. Sorting Algorithms

Quiz

Consider the following three sequences of snap-shots (steps) of the algorithms (a) Insertion Sort, (b) Selection Sort and (c) Bubblesort. Below each sequence provide the corresponding algorithm name.

5	4	1	3	2
<hr/>				
1	4	5	3	2
<hr/>				
1	2	5	3	4
<hr/>				
1	2	3	5	4
<hr/>				
1	2	3	4	5

5	4	1	3	2
<hr/>				
4	1	3	2	5
<hr/>				
1	3	2	4	5
<hr/>				
1	2	3	4	5

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1	2	3	5	4
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1	2	3	4	5

selection

5	4	1	3	2
<hr/>				
4	1	3	2	5
<hr/>				
1	3	2	4	5
<hr/>				
1	2	3	4	5

5	4	1	3	2
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selection

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bubblesort

5	4	1	3	2
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1	2	3	4	5

selection

5	4	1	3	2
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1	3	2	4	5
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1	2	3	4	5

bubblesort

5	4	1	3	2
<hr/>				
4	5	1	3	2
<hr/>				
1	4	5	3	2
<hr/>				
1	3	4	5	2
<hr/>				
1	2	3	4	5

insertion

Quiz

Execute two further iterations of the algorithm Quicksort on the following array. The first element of the (sub-)array serves as the pivot.

8	7	10	15	3	6	9	5	2	13
2	7	5	6	3	8	9	10	15	13
<u>2</u>	7	5	6	3	<u>8</u>	9	10	15	13
					<u>8</u>				

Quiz

Execute two further iterations of the algorithm Quicksort on the following array. The first element of the (sub-)array serves as the pivot.

8	7	10	15	3	6	9	5	2	13
2	7	5	6	3	<u>8</u>	9	10	15	13
<u>2</u>	7	5	6	3					

Quiz

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8	7	10	15	3	6	9	5	2	13
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8	7	10	15	3	6	9	5	2	13
2	7	5	6	3	<u>8</u>	9	10	15	13
<u>2</u>	(7)	5	6	3	<u>8</u>	<u>9</u>	10	15	13
<u>2</u>	3	5	6	<u>7</u>	<u>8</u>	<u>9</u>	<u>10</u>	15	13

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Quiz

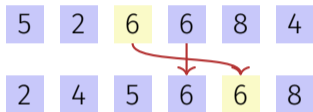


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8	7	10	15	3	6	9	5	2	13
2	7	5	6	3	<u>8</u>	9	10	15	13
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<u>2</u>	3	5	6	<u>7</u>	<u>8</u>	<u>9</u>	<u>10</u>	15	13

Stable and in-situ sorting algorithms

- Stable sorting algorithms don't change the relative position of two equal elements.



not stable

Stable and in-situ sorting algorithms

- Stable sorting algorithms don't change the relative position of two equal elements.

5 2 6 6 8 4

2 4 5 6 6 8

not stable

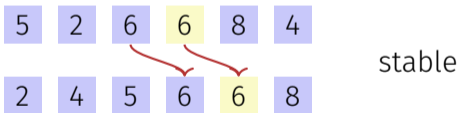
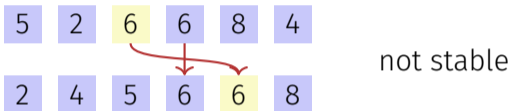
5 2 6 6 8 4

2 4 5 6 6 8

stable

Stable and in-situ sorting algorithms

- Stable sorting algorithms don't change the relative position of two equal elements.



- In-situ algorithms require only a constant amount of additional memory. Which of the sorting algorithms are stable? Which are in-situ? (How) can we make them stable / in-situ?

→ (skipped due to
time reasons)

10. In-Class Code-Examples

Implement (Binary) Search from Scratch

→ **code expert**

Use the result to implement binary insertion sort.

→ **code expert**



11. Outro

General Questions?

See you next time

Have a nice week!