

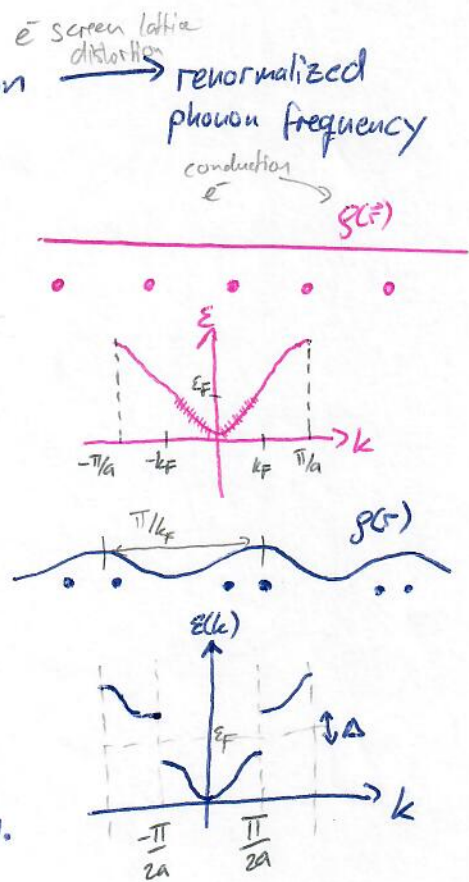
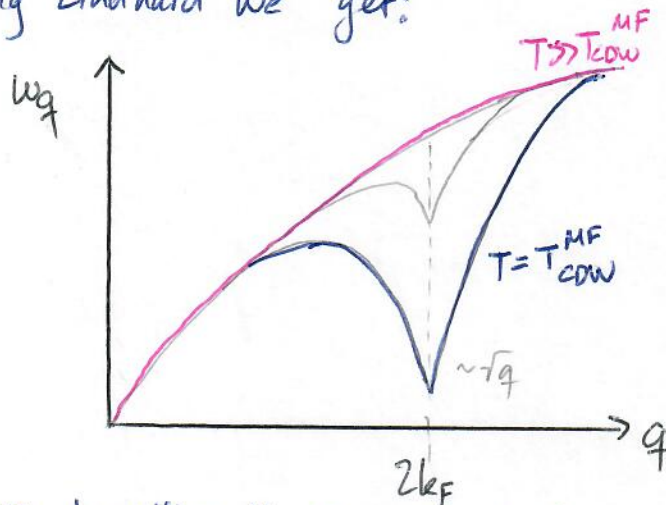
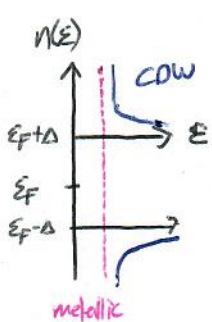
# Charge Density Wave

## Definition:

The charge density wave ground state consists of a periodic charge density modulation accompanied by a periodic lattice distortion. It develops in low-dimensional metals as a consequence of **electron-phonon interaction**.

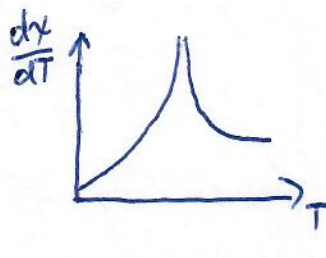
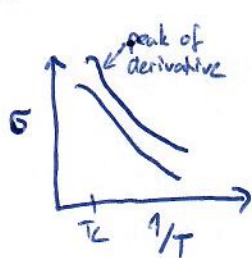
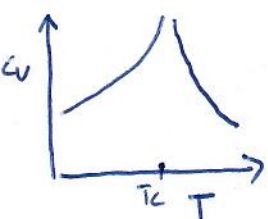
## Derivation: (1-dim electron gas)

Using the Born-Oppenheimer approximation, Lattice vibration  $\xrightarrow{\text{e}^- \text{ screen lattice distortion}}$  renormalized phonon frequency  
 and then using Lindhard we get:  $\xrightarrow{\text{conduction e}^-}$   $\rho(q)$



Below the phase transition, the renormalized phonon frequency is zero, indicating a "frozen-in" lattice distortion.

## Experimental evidence:



- lattice distortion  $\rightarrow$   $e^-/n$  diffraction or X-Ray
- Kohn anomaly
- Scanning tunnel microscopy
- photoemission for gap

• specific heat

• conductivity (dc)

• magnetic susceptibility

$\Delta$  has the meaning of magnitude and phase of the electrostatic energy potential

## Charge density wave Overview

p. 49. Grüner

In general incommensurate with period of lattice, usually occur in quasi-one-dimensional metals, but not endemic to this family.

- density of conduction electrons spatially modulated, probed by scanning tunnel microscopy.
- periodicity usually corresponds to nesting vector of Fermi surface
- lattice distorted by same periodicity, measured by X-Ray, electron neutron diffraction, scanning probe techniques.
- anomalies in phonon dispersion, energy might go to zero at phase transition
- $\nu(E_F)$  reduced in CDW phase.

Nesting alone does not lead to CDW at  $T > 0$ . See graph

## Electron-lattice interactions

→ Born Oppenheimer approximation

phonon  $\rightarrow$  ionic density modulation  $\xrightarrow{\text{Lindhard}}$  periodic charge density  
 $\xrightarrow{\text{acts back on ions}}$  change in phonon frequency

# Renormalization of phonon frequencies

Electronic problem

$$\vec{u}_j = \vec{u} e^{i\vec{q} \cdot \vec{R}_j} + c.c. \quad (\text{static lattice distortion})$$

$\vec{u}$ : amplitude of mode  
 $\vec{R}_j$ : position at rest  
 $\omega_q \neq 0$ : phonon frequency

$$\vec{F}_{\text{ext}} = \underbrace{\omega_q^2 M}_{=: k} \vec{u} \quad (\text{static spatially modulated external force})$$

$k \cong$  elastic susceptibility

Lattice problem

$$E_{\text{lat}} = NM\omega_q^2 |u|^2$$

$$\phi(\vec{r}) = -i(\vec{q} \cdot \vec{u}) u_{\vec{q}} e^{i\vec{q} \cdot \vec{r}} + c.c.$$

$\leftarrow$  F.T. of single ion potential

$$\Rightarrow \phi = \underbrace{-i(\vec{q} \cdot \vec{u}) u_{\vec{q}}}_{\phi} \chi(\vec{q})$$

$$E_{\text{tot}} = E_{\text{lat}} + E_{\text{el}} = MN|u|^2 \left[ \omega_q^2 + (\vec{q} \cdot \vec{u})^2 \frac{V}{N} |u_{\vec{q}}|^2 \chi(\vec{q}) / M \right]$$

$\uparrow$  important

$$\Omega_{\vec{q}}^2 = \omega_{\vec{q}}^2 \left[ 1 + 2 \lambda_{\vec{q}} \frac{\chi(\vec{q})}{e^2 \gamma(\epsilon_F)} \right]$$

$\lambda_{\vec{q}}$ : electron-phonon coupling constant  
 $\sim \vec{q} \cdot \vec{u} \Rightarrow$  longitudinal phonons  
 (renormalized phonon frequency)  
 $\chi < 0!$   
 $\Rightarrow \Omega < \omega$

electrons screen lattice distortion, making it softer. May help CDW formation?

# Kohn anomaly

P. 37 Griner  
P 156 Ziman

$\chi(q) \Rightarrow$  renormalization of phonon frequencies strong, where  $q \hat{=} \text{nesting vector}$ .

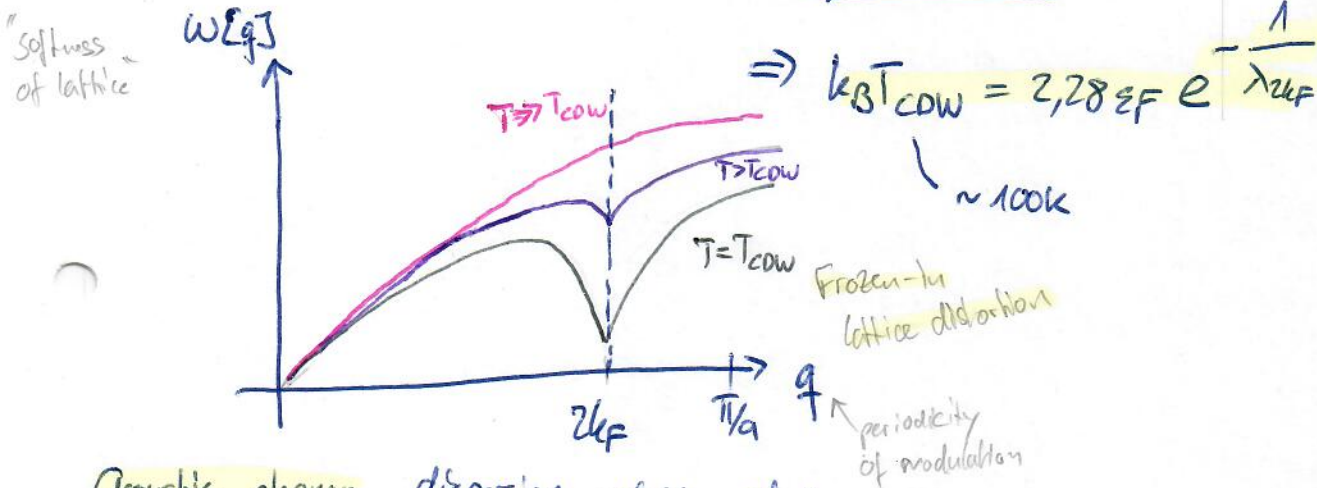
Dips in phonon dispersion curves are called Kohn anomalies. Typically  $T$ -dependent, see Fermi-Dirac distribution.

Example: one dimensional Fermi liquid

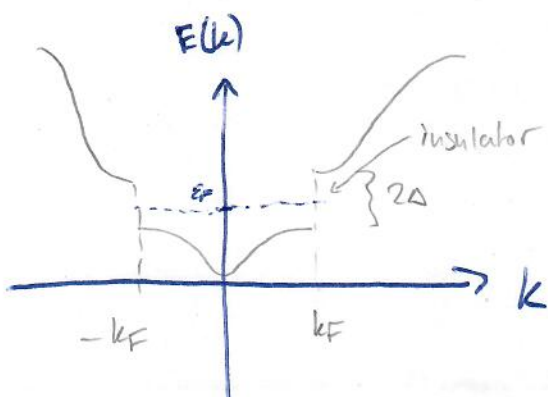
$$\chi(q=2k_F) = -\frac{e^2 v(E_F)}{2} \log\left(\frac{2,28 E_F}{k_B T}\right)$$

important

$$\omega_{2k_F}^2 = \omega_{2k_F}^2 \left[ 1 - \lambda_{2k_F} \log\left(\frac{2,28 E_F}{k_B T}\right) \right]$$



Acoustic phonon dispersion relation of a one-dimensional metal. MF approx

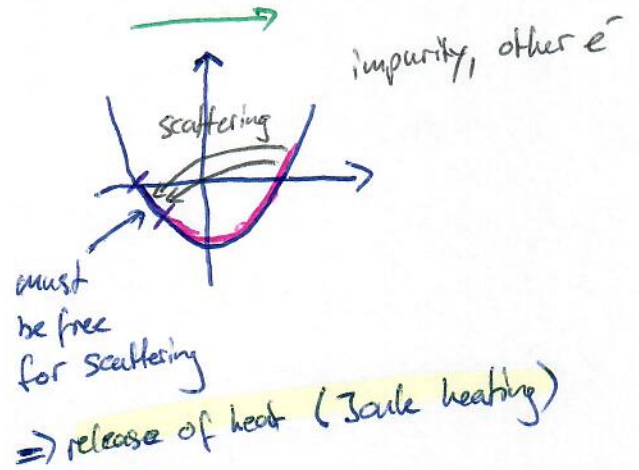
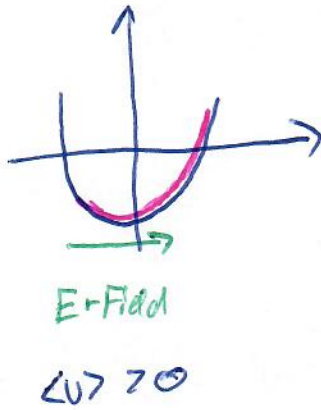
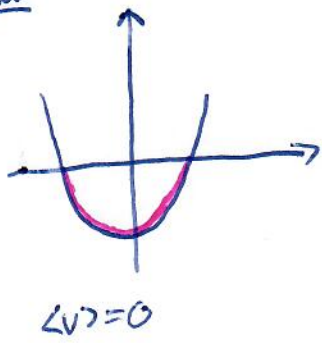


zero frequency  $\Rightarrow$  divergent elastic susceptibility  $\xrightarrow{\text{points to}}$  second order phase transition

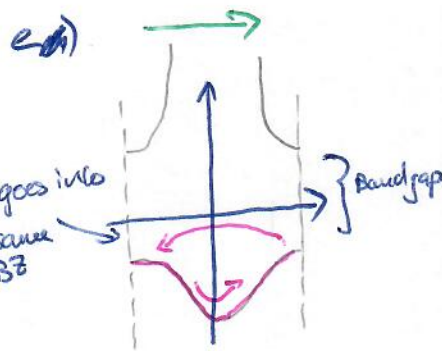
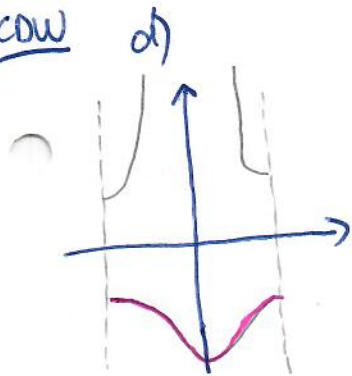
ideally CDW-state  $\Rightarrow$  insulator

# Current in a normal metal and in a sliding SDW

Metal



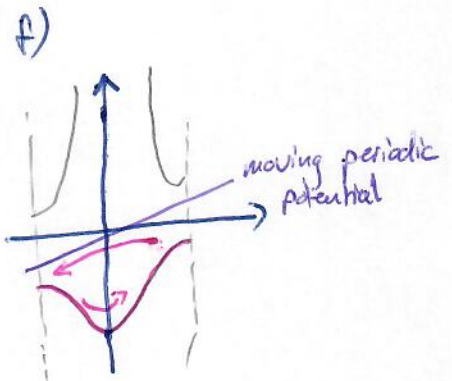
CDW



CDW  $\Rightarrow$  insulator

$\langle v \rangle = 0$

Zone structure prevents from current



sliding of SDW

$\langle v \rangle \neq 0$

Sliding as ~~whole~~ a whole  $\Rightarrow$  transfer to reference frame

$\Rightarrow$  same as in d)  $\Rightarrow$  increase in velocity, + linear function  
back in lab frame

$\uparrow$  slope of dispersion curve

$\Rightarrow$  average velocity is  $v$

$\rightarrow$  no joule heating!, but dissipation due to collisions with impurities

$\rightarrow$  energy ~~not~~ released as phonons  $\Rightarrow$  stop of CDW sliding.

$\Rightarrow$  pinned to impurities  $\Rightarrow$  insulator for  $E$  not too strong.

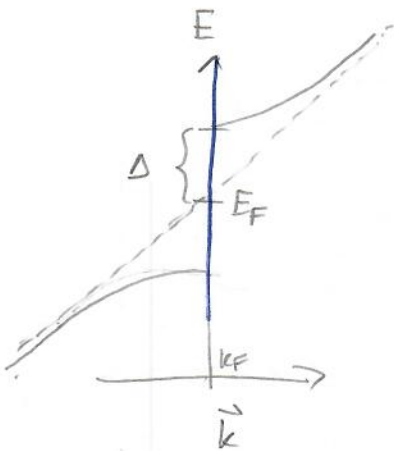
# CDW: Order parameter

ionic displacement  $\sim 10^{-3} - 10^{-2} a$

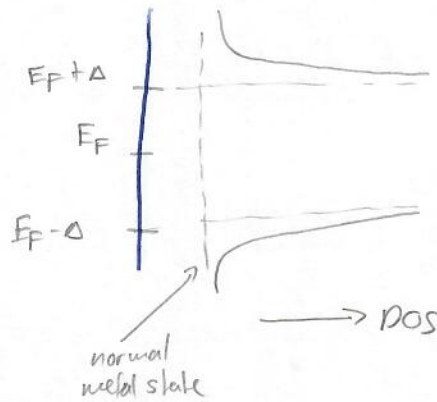
OP: complex amplitude of the electric potential produced by the lattice distortion

$$\Delta = i(\vec{q} \cdot \vec{u}) U_{\vec{q}} u = |\Delta| e^{i\phi}$$

## CDW: Band gap



Dispersion near  $k_F$  with lattice modulation  $2k_F$



corresponding DOS

## Estimating $\Delta$

breaks translational symmetry modulo the CDW period,  $SO(2)$

Opening the gap  $\Rightarrow$  lowered the energy of the Fermi sea.

Calculation

$\Rightarrow$  one-dimensional metallic state is unstable against the formation of a CDW.

measured by optical spectroscopy, dc conductivity  $\rightarrow |\Delta| = 8 E_F \exp\left(-\frac{1}{\lambda_{2k_F}}\right) \sim 10-100K$

Experiments:

$$\frac{2\Delta}{k_B T_{CDW}} \approx 7,0$$