Factoring in number rings

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This Week's Discoveries

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Some example of rings are: the integers $\mathbb Z,$ the rational numbers $\mathbb Q,$ the real numbers $\mathbb R$ and

$$\left\{\frac{a}{b}:a,b\in\mathbb{Z},2\nmid b\right\}.$$

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We will now study factorization properties of the Gaussian integers.



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If we view $\mathbb{Z}[i]$ as a subset of the complex numbers \mathbb{C} we have

$$N(a+bi)=|a+bi|^2,$$

where $|\cdot|$ is the absolute value on \mathbb{C} . We have the fundamental property

$$N((a+bi)\cdot(c+di)) = N(a+bi)\cdot N(c+di).$$

Now suppose that $a + bi \in \mathbb{Z}[i]$ is a unit. Then, by definition, there is $c + di \in \mathbb{Z}[i]$ such that

$$(a+bi)\cdot(c+di)=1,$$

which implies that $N(a + bi) \cdot N(c + di) = 1$.

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Then we deduce $N(a + bi) = \pm 1$. But $N(a + bi) = a^2 + b^2$, so

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We conclude that the units of $\mathbb{Z}[i]$ are $\{1, -1, i, -i\}$.

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Example 2

For the integers, prime and irreducible are the same notion. The prime elements are exactly $\pm p$, where p is a prime number. This property is the key behind unique factorization!

If π is a prime in R and u is a unit, then $\pi \cdot u$ is prime.

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Theorem 1 (Gauss)

Every non-zero Gaussian integer a + bi can uniquely be factored into a unit and primes. This factorization is unique up to reordering the factors and multiplying primes by units.

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Example 3

We have that

$$-3 + 9i = i \cdot 3 \cdot (1 + i) \cdot (2 - i) = 3i \cdot (-1 + i) \cdot (-1 - 2i),$$

where 3, 1 + i and 2 - i are all irreducible and prime. In fact: irreducible and prime are the same notion in $\mathbb{Z}[i]$.

Failure of unique factorization

In the ring
$$\mathbb{Z}[\sqrt{-6}] := \{a + b\sqrt{-6} : a, b \in \mathbb{Z}\}$$
 we have

$$6 = 2 \cdot 3 = -1 \cdot \sqrt{-6} \cdot \sqrt{-6}.$$
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$$N((a+b\sqrt{-6})\cdot(c+d\sqrt{-6}))=N(a+b\sqrt{-6})\cdot N(c+d\sqrt{-6}).$$

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With a similar computation as for $\mathbb{Z}[i]$, it follows that the units of $\mathbb{Z}[\sqrt{-6}]$ are ± 1 . We will show on the next slide that 2, 3, $\sqrt{-6}$ are irreducible. So we have two different factorizations of 6 in equation (1).

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We conclude that $a^2c^2 = 4$, so $ac = \pm 2$. Then $a = \pm 1$ or $c = \pm 1$. If $a = \pm 1$, we get that $a + b\sqrt{-6} = \pm 1$ is a unit!

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This shows that 2 is irreducible, and similarly for 3 and $\sqrt{-6}$.

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Attached to an integer d, we can define an abelian group Cl(d) that measures the failure of unique factorization in $\mathbb{Z}[\sqrt{d}]$. We have

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Given d there is an algorithm that computes Cl(d).

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We have a solid heuristic framework to answer such questions, but we have been able to prove these only in very few instances.

Together with Djordjo Milovic and Carlo Pagano I have been able to answer some of these questions.

