Spectral bounds on the independence number

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Joint with Matthew Kwan

Outline

Introduction: the ratio bound and the inertia bound

The limits of the inertia bound

Proof sketch

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General problem: Understand the space of all WAMs of *G*, and optimize some quantity over this space.

Theorem (Hoffman (unpublished))

Let G be a regular n-vertex graph with adjacency matrix A. Then

$$\alpha(G) \leqslant \left| \frac{\lambda_{\min}(A)}{\lambda_{\min}(A) - \lambda_{\max}(A)} \right| n.$$

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Even for general graphs, this optimization is a semidefinite program, so the optimum is efficiently computable.

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Is the inertia bound always tight? In other words, does there always exist a weighted adjacency matrix W with

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No! The Paley graph P_{17} has $\alpha(P_{17}) = 3$ but $n_+(W) \ge 4$ for every weighted adjacency matrix W.

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No! The Paley graph P_{17} has $\alpha(P_{17}) = 3$ but $n_+(W) \geqslant 4$ for every weighted adjacency matrix W.

The proof involves a lot of casework and is very specific to P_{17} .

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Theorem (Wocjan-Elphick-Abiad (2022))

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This yields an infinite family of examples for Godsil's question.

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Theorem (Kwan-W. (2024))

If G is C₄-free, then $n_+(W) \ge 0.232n$ for every WAM W of G.

If G is the polarity graph of a projective plane, then G is C_4 -free and the ratio bound proves $\alpha(G) \leqslant \alpha_q(G) = O(n^{3/4})$.

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- Fix a WAM W of G, with eigenvalues $\lambda_1, ..., \lambda_n$.
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• Controlling the first two moments is not enough to learn about $Pr(X \ge 0)$.

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- Fix a WAM W of G, with eigenvalues $\lambda_1, ..., \lambda_n$.
- Let X be the random variable taking value λ_i with probability 1/n, for $i \in [n]$. We want to prove a lower bound on $Pr(X \ge 0)$.
- Note that

$$\mathbb{E}[X] = \frac{1}{n} \operatorname{tr}(W) = 0.$$

Also, by rescaling W, we may assume $\mathbb{E}[X^2] = 1$.

• Controlling the first two moments is not enough to learn about $Pr(X \ge 0)$. However:

Lemma

If X is a RV with
$$\mathbb{E}[X] = 0$$
, $\mathbb{E}[X^2] = 1$, and $\mathbb{E}[X^4] \leq 2$, then

$$\Pr(X \ge 0) \ge \sqrt{3} - \frac{3}{2} \approx 0.232.$$

The fourth moment

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$$\mathbb{E}[X^4] = \frac{1}{n} \left[2 \sum_{i=1}^n \left(\sum_{j=1}^n W_{ij}^2 \right)^2 - \sum_{i,j=1}^n W_{ij}^4 \right]$$

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Remarkably, we will be able to reduce to this case.



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Let W be a symmetric $n \times n$ matrix with no large zero blocks: if $|S| + |T| \ge n$, then $W[S, T] \ne 0$.

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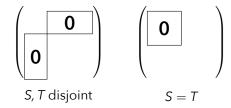
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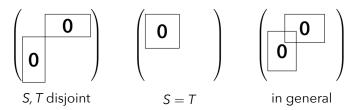


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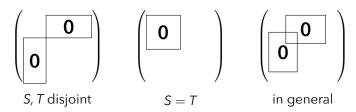


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Suppose there exist S, T with $|S| + |T| \ge n$ and W[S, T] = 0.



In any case, we are done by induction + Cauchy interlacing.

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- Let X be the RV sampling eigenvalues of W'.
- We have $\mathbb{E}[X] = 0$, $\mathbb{E}[X^2] = 1$, $\mathbb{E}[X^4] \leqslant 2$. Therefore,

$$n_{+}(W) = n_{+}(W') = n \cdot \Pr(X \geqslant 0) \geqslant 0.232n.$$

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Let $G \sim \mathbb{G}(n, \frac{1}{2})$. With probability 1 - o(1), every WAM of G satisfies

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Question

What's the complexity of computing the best possible inertia bound?

Thank you!